

DANIEL A. SHVARTSMAN

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MIDDLE COAST INVESTING LLC

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FIRM SUPPLEMENTAL BROCHURE

ADV PART 2B

OCTOBER 12, 2023

This Brochure Supplement provides information about Daniel A. Shvartsman that supplements the Middle Coast Investing LLC brochure. You should have received a copy of that brochure. Please contact Mr. Shvartsman at daniel@middlecoastinvesting.com if you did not receive Middle Coast Investing LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel A. Shvartsman is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number. Mr. Shvartsman's CRD number is 7820995.

Item 2 – Educational Background and Business Experience

Daniel A. Shvartsman

Born: 1984

Education:

Duke University – Bachelor of Science in Economic & Bachelor of Arts in History – 2007

Interdisciplinary Center Herzilya – Master of Arts in Government – 2010

Business Background:

Middle Coast Investing LLC

– Managing Member – June 2020 to Present

– Investment Adviser Representative – October 2023 to Present

Investing.com – October 2021 to March 2023

– VP of Content

Shortman Studios – July 2020 to September 2021

– Founder/Owner

Seeking Alpha – September 2012 to June 2020

– Director of Marketplace

Item 3 – Disciplinary Information

Registered investment adviser representatives are required to disclose all material facts regarding any legal or disciplinary events within the past 10 years that could be material to your evaluation of each supervised person providing investment advice. These include the following:

- A. A criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the *supervised person*
1. was convicted of, or pled guilty or nolo contendere (“no contest”) to (a) any *felony*; (b) a *misdemeanor* that *involved* investments or an *investment-related* business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
 2. is the named subject of a pending criminal *proceeding* that involves an *investment-related* business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
 3. was *found* to have been *involved* in a violation of an *investment-related* statute or regulation; or
 4. was the subject of any *order*, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the *supervised person* from engaging in any

investment-related activity, or from violating any *investment-related* statute, rule, or *order*.

Mr. Shvartsman has no information applicable to this item.

- B. An administrative *proceeding* before the SEC, any other federal regulatory agency, any state regulatory agency, or any *foreign financial regulatory authority* in which the *supervised person*
1. was *found* to have caused an *investment-related* business to lose its authorization to do business; or
 2. was *found* to have been *involved* in a violation of an *investment-related* statute or regulation and was the subject of an *order* by the agency or authority
 - i. denying, suspending, or revoking the authorization of the *supervised person* to act in an *investment-related* business;
 - ii. barring or suspending the *supervised person's* association with an *investment-related* business;
 - iii. otherwise significantly limiting the *supervised person's investment-related* activities; or
 - iv. imposing a civil money penalty of more than \$2,500 on the *supervised person*.

Mr. Shvartsman has no information applicable to this item.

- C. A *self-regulatory organization (SRO) proceeding* in which the *supervised person*
1. was *found* to have caused an *investment-related* business to lose its authorization to do business; or
 2. was *found* to have been *involved* in a violation of the *SRO's* rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from *investment-related* activities; or (iii) fined more than \$2,500.

Mr. Shvartsman has no information applicable to this item.

- D. Any other *proceeding* in which a professional attainment, designation, or license of the *supervised person* was revoked or suspended because of a violation of rules relating to professional conduct. If the *supervised person* resigned (or otherwise relinquished his attainment, designation, or license) in anticipation of such a *proceeding* (and the adviser knows, or should have known, of such resignation or relinquishment), disclose the event.

Mr. Shvartsman has no information applicable to this item.

Item 4 – Other Business Activities

Mr. Shvartsman currently has no other business activities to report.

Item 5 – Additional Compensation

Mr. Shvartsman does not receive any additional compensation.

Item 6 – Supervision

Mr. Shvartsman is the Managing Member and Chief Compliance Officer of the firm. As a result, he has no internal supervision placed over him. He is however bound by the firm's Code of Ethics and policies and procedures. Mr. Shvartsman can be reached at Daniel@middlecoastinvesting.com.

Item 7 – Requirements for State-Registered Advisers

- A. Mr. Shvartsman has **NOT** been involved in any of the events listed below.
1. An award or otherwise being *found* liable in an arbitration claim alleging damages in excess of \$2,500, *involving* any of the following:
 - (a) an investment or an *investment-related business* or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.
 2. An award or otherwise being *found* liable in a civil, *self-regulatory organization*, or administrative *proceeding involving* any of the following:
 - (a) an investment or an investment-related business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery; forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.
- B. Mr. Shvartsman has **NOT** been the subject of a bankruptcy petition.